



FORM PTO-1449 LIST OF PAYMENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (use several sheets if necessary)		SERIAL NO. 09/781,310	ATTORNEY DOCKET NO. 215441-00006
		FILING DATE 02/13/2001	GROUP ART UNIT 2161
		EXAMINER NAME: APPLICANT(S): Breeden et al.	

RECEIVED  
JUN 05 2003  
GROUP 3600

### U.S. PATENT DOCUMENTS

#### REFERENCE DESIGNATION

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE/PUBLICATION DATE	NAME	CLASS/ SUBCLASS	FILING DATE
SH	6,078,903	06/20/2000	KEALHOFER, Stephen	705/36	02/12/1998
AF	6,202,053	03/13/2001	CHRISTIANSEN, James	705/38	01/23/1998
CL	6,249,775	06/19/2001	FREEMAN, Charles	705/36	07/11/1997

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	"Arm Home Equity Loan Prepayment Model" by Howard Stern, Ph.D., July 2000

EXAMINER	DATE CONSIDERED
J. Colbeck	6-8-04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.